



SustainaWOOL Integrity Scheme

Content Claim Standard | 2.0

Australian Wool Exchange Limited p: +61 2 9428 6161 w: <http://sustainawool.com.au>

Introduction

The AWEX **SustainaWOOL** Content Claim Standard ensures the accuracy of content claims made along the wool processing pipeline, from farm to final consumer.

Accuracy of content claim is achieved through two means:

1. Establishing a clear traceable chain of custody from source to final product.
2. Verifying the content of certified wool fibre in the product.

Intended users of this voluntary Standard are AWEX **SustainaWOOL** Supply Chain Partners – those Australian and international wool brokers, buyers, processors, brands and retailers who have been certified under the **SustainaWOOL** Scheme.

These Scheme Partners share a common vision of a global wool industry which, at any stage from farm to final product, can celebrate and specify the highest standards of sustainability, traceability, and clip preparation.

How to use this document

This document defines the requirements and operational processes required for compliance with the **SustainaWOOL** Content Claim Standard (CCS).

In the CCS, the following terms are used to define

requirement levels:

1. 'could' and 'can' indicates a possibility.
2. 'should' indicates a non-binding recommendation.
3. 'shall' indicates a mandatory requirement.

Key acronyms

AWEX	Australian Wool Exchange Limited	CVN	Consignment Verification Number – the unique 12-digit identifier assigned to each SustainaWOOL wool consignment by AWEX.
CVC	Consignment Verification Certificate – a secured digital certificate issued by AWEX and which applies to a specified consignment of material verified by AWEX as originating from and readily traceable to certified SustainaWOOL supplier farms. Each CVC contains a unique 12-digit Consignment Verification Number, assigned by AWEX.	SCP	Supply Chain Partner
		TR	Transaction Record

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The concept

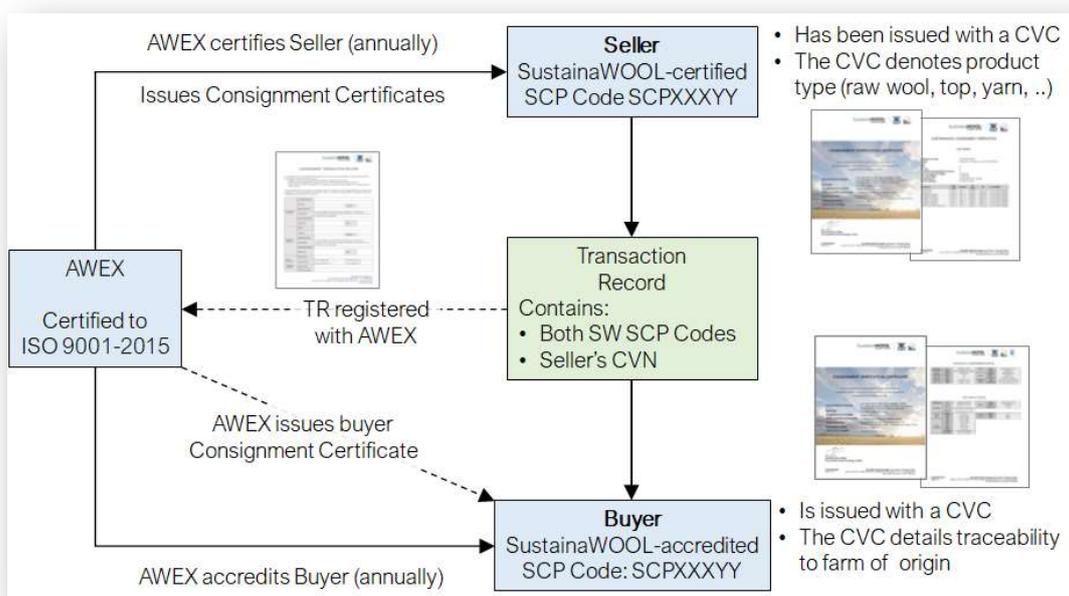
The **SustainaWOOL** CCS provides a practical, cost-effective, and ISO-certified means of verification of the content of **SustainaWOOL** or equivalent standards in a product. The concept is simple:

1. **Certified wool growers** – individual wool growers are certified to the **SustainaWOOL** or equivalent standards and identify eligible certified lots using the **SustainaWOOL** or equivalent Quality Scheme codes in auction catalogues. AWEX audits all auction catalogues presale to ensure the **SustainaWOOL** quality scheme coding is only applied where appropriate.
2. **Certified Supply Chain Partners** - wool purchasers and subsequent processors are certified under the **SustainaWOOL** Scheme as Supply Chain Partners (SCP) and issued a SCP code specific to their entity. Their certification defines the scope of their business activity for the purposes of this CCS, and **SustainaWOOL** recognises scope certificates from equivalent standards.
3. **Consignment Certification** – accredited SCP's are able to seek AWEX certification of wool material

purchases, where these have come from either **SUSTAINAWOOL**-certified growers or their agents or equivalents, or from other SCP's. Providing the SCP has provided AWEX with specific consignment details permitting verification of authenticity, AWEX issues the SCP with a unique Consignment Verification Certificate (CVC) specific to that consignment.

4. **Transaction Recording** – when a SCP (e.g. a topmaker) and their client (e.g. a spinner) wish to transact **SUSTAINAWOOL**-certified consignment material (either part-consignment, or whole consignment), the Certification applying to the original consignment is able to be passed onto the client where (a) the client is also an AWEX-accredited SCP, and (b) buyer and seller complete and register with AWEX a **SustainaWOOL** Transaction Record – a simple to complete legal declaration of the purchase details, and containing the SCP codes of buyer and seller, and the seller's CVC detail for the wool material being sold.

The system is summarised in the following schematic.



Principles

1.1 Scope of application

- 1.1.1 The CCS applies to each organisation in a supply chain.
- 1.1.2 The scope of the wool supply chain for CCS purposes ordinarily encompasses purchased greasy wool, wool processing through to fabric, garment manufacture and retail distribution – the certification of greasy wool production enterprises are not part of the CCS.
- 1.1.3 The CCS applies to products which contain 5% to 100% of SustainaWOOL fibre, which applies to the specific claimed content – **Sustainblue**, **SustainWOOL Green**, **SustainaWOOL Gold**, or any **SustainaWOOL**-recognised standard.
- 1.1.4 Compliance with the CCS is verified by AWEX, and acceptance of this is a condition of participation in the **SustainaWOOL** Integrity Scheme.

1.2 Participation requirements

- 1.2.1 The CCS is applicable only to organisations which have undergone certification by AWEX and attained **SustainaWOOL** Supply Chain Partner (SCP) status and are current financial members. This status is offered and approved at AWEX's discretion and must be renewed on an annual basis.
- 1.2.2 **SustainaWOOL** SCP's must:
 - 1.2.2.1 maintain integrity to their sales processes and keep accurate sales records and wool lotting and consignment information.
 - 1.2.2.2 on request, provide their purchasers with **SustainaWOOL** consignment certification reports for the purposes of verifying the product as **SustainaWOOL** certified, and enable this to be traced back to the individual sale lot and farm.
 - 1.2.2.3 provide to AWEX any information requested by AWEX that will enable AWEX to ascertain whether a clip or lot has been prepared in accordance with the standards of the **SustainaWOOL** Integrity Scheme.
- 1.2.3 If AWEX requests a member to provide information which is reasonably required for the operation of the **SustainaWOOL** Integrity Scheme, the Member must provide that information and AWEX will not disclose information which is considered confidential by AWEX unless required by law or the **SustainaWOOL** Rules.
- 1.2.4 Application to become a **SustainaWOOL** SCP is a simple matter – the SCP Application Form, Terms and Conditions, and a License for use of the **SustainaWOOL** logo are available from the **SustainaWOOL** website (<http://sustainawool.com.au/#apply>).

1.3 Transaction records

- 1.3.1 The **SustainaWOOL** Transaction Record (TR) is the simple, standardised means of establishing chain of custody and material content within the CCS – the pre-requisite for any content claim made by any SCP. This template document is shared between buyer, seller and AWEX, and contains details of Buyer, Seller, material amount and specifications, and the unique 12-digit CVN contained in the CVC issued to the seller by AWEX.
- 1.3.2 TR's are only able to be verified by AWEX for current financial accredited members of **SustainaWOOL**, and such organisations shall maintain digital records of all TR's and provide these to AWEX upon request.
- 1.3.3 The process for completion of a TR is that:
- 1.3.3.1 Buyer and Seller, both current financial SCP's, agree to transact material containing **SustainaWOOL**.
- 1.3.3.2 In addition to their normal sale and purchase documentation, the Seller completes those sections of the TR relevant to the Seller, and includes the detail of the material transacted, including clean weight and the CVC for that material.
- 1.3.3.3 The partially completed form is provided to the Buyer, who completes and signs the form. A copy of the completed form is provided by the buyer to AWEX, for verification purposes.
- 1.3.3.4 If the chain of custody, and material amount and specification consistent with the requirements of this CCS, AWEX will issue the Buyer with a CVC for the material purchased, with its own unique CVN.
- 1.3.4 A copy of the TR is provided in the Appendix.

1.4 Management requirements

- 1.4.1 A condition of certification is that SCP's shall maintain such procedures and records as to permit ready verification of chain of custody and **SustainaWOOL** content of materials.
- 1.4.2 The organisation shall maintain and if required demonstrate the ability to record the balance between inputs and outputs contained in the declared amounts in any TR. These inputs shall include any **SustainaWOOL** amounts, as defined by CVCs, but also any other amounts of material added or blended to the **SustainaWOOL** materials.
- For example, if a consignment of **SustainaWOOL** material (100%) is blended with other material (e.g., wool from another country, or with another fibre type such as Cashmere), these inputs shall be reflected in the specifications and mass balance of the outputs recorded.
- 1.4.3 All records shall be maintained for a minimum of 5 years.
- 1.4.4 The organisation shall nominate a representative to be responsible as a point of contact for **SustainaWOOL** purposes and maintain auditable records.

1.5 Product Labelling and Marketing

- 1.5.1 Products or services are only able to be described in a manner reflecting use of the word 'SustainaWOOL' or use of the SustainaWOOL logo by current SustainaWOOL SCP's.
- 1.5.2 Consistent with the requirements of certification under the SustainaWOOL Scheme, all SCP's must abide by the License terms and conditions applying to use of the SustainaWOOL logo for product description or marketing purposes.
- 1.5.3 Only those products complying with this CCS are able to reflect a claim of SustainaWOOL content.

1.6 Organisational responsibilities

AWEX shall:

- 1.6.1 Be responsible for accrediting SUSTAINAWOOL-SCP's and maintaining a current register of all SCP's.
- 1.6.2 Conduct Remote Audit of SCP's to determine compliance and certification status, in a timely fashion.
- 1.6.3 Generate CVC's upon request and maintain a volume reconciliation register for all CVC's.
- 1.6.4 Always maintain certification of the SustainaWOOL Integrity Program under ISO 9001-2015.
- 1.6.5 Recognise the scope certificates for other integrity schemes, were appropriate.

SCP's shall:

- 1.6.6 Attain and renew their certification as an SCP annually, maintaining financial status.
- 1.6.7 Furnish AWEX and those SCP's directly involved with such information as required to establish chain of custody and SustainaWOOL material content of product – specifically, TR and copies of any CVC's as required, and any records of input and outputs as required to perform volume reconciliation.
- 1.6.8 Provide AWEX with evidence of attainment of certification and scope certificates for recognised integrity schemes.



Key definitions

Audit: A means to verify compliance with a standard. It can involve interviews, and/or document reviews.

Blend: A combination of Claimed Materials and other materials.

Brand: An organization that controls the design, development, and purchase of products for sale under their own name. Brands may sell to wholesalers, retailers, or directly to consumers. In the

Certification: The provision by an independent body of written assurance (a certificate) that the product, service or system in question meets specific requirements.

Chain of Custody: A system to document and verify the path taken by a defined input material through all stages of transfer and production, to the final product. The chain of custody preserves the identity of the input material.

Claim: An oral, written, implied, representation, statement, advertising, or other form of communication that is presented to the public or buyer that relates to the presence of a Claimed Material in the content of a product.

Claimed Material: The specific material that is being verified by the CCS as a content claim in a final product. Normally, this would comprise greasy, scoured, or carbonised raw wool, tops, noils, yarns, fabrics, and garments.

Content: Proportion of a material in a product.

Final Processor: The certified Organisation that performs the final point of product transformation - including any addition of hangtags or product labelling that indicates Certification - before sale to consumers.

Inputs: All substances and materials used in the production of the Product.

Label: Descriptive, informative, or pictorial material on or accompanying a product or its immediate container.

Operation: A step in the supply chain that receives, processes, packages or stores products covered by CCS.

Organisation: Entity being certified to the CCS; involved in the manufacturing, handling, trading, and processing of CCS Products. Organizations take legal ownership of Products.

Outputs: All materials resulting from production of the CCS Product, including the Product itself (e.g., tops and noils, yarns, knitted or woven fabric).

Processor: Entity engaged in construction or transformation of a product, including the addition of trims or accessories. Also referred to as a "manufacturer".

Products: The physical goods that result from each stage of production. These can include finished or unfinished goods.

Records: The information in written, visual, or electronic form that documents the activities undertaken by a user to demonstrate accordance with requirements.

Remote Audit: The act of examining and evaluating compliance with a standard from somewhere other than the physical site (e.g., review of records submitted electronically, phone calls).

Supply Chain: The progression of business entities involved in the supply and purchase of materials, goods, or services from raw materials to the final product.

Verification: The confirmation, through the provision of evidence, that specified requirements have been fulfilled.

Volume Reconciliation: At each point along the supply chain the records shall demonstrate the balance between the inputs and the outputs containing the declared final amount of Claimed Material. AWEX will review TR's to verify that the amounts being claimed are accurate. Can also be referred to as "mass balance" in other standards.

Appendix 1: Example Transaction Record



CONSIGNMENT TRANSACTION RECORD

It is a requirement of the SustainaWOOL Integrity Scheme that transactions relating to certified SustainaWOOL consignments are documented such as to:

- Establish a chain of custody between SustainaWOOL Supplier Chain Partners.
- Define the product (greasy wool, top, noils, yarn etc), and net and gross weights purchased.
- Identify the unique AWEX SustainaWOOL Consignment Verification Number applying to the original greasy wool purchase.

This transaction record per se does not entitle the buyer of the goods to use the SustainaWOOL logo, which is only available at the discretion of AWEX, and subject to license.

A copy of the original AWEX Consignment Verification Certificate(s) must be attached to this form.

Purchaser to complete	Purchaser Name:		
	Address:		
		Country	
	AWEX SCP No.:		
	Authorised officer:		
Signature:		Date	
Supplier to complete	Name:		
	Address:		
		Country	
	AWEX SCP No.:		
	Authorised officer:		
Signature:		Date	
Consignment (Supplier to provide)	Description		
	AWEX CVN:		
	Gross Weight		kgs
	Net Weight:		kgs
	Country of origin:		

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Appendix 2: Example Consignment Certificate






CONSIGNMENT VERIFICATION CERTIFICATE

This is to certify that quantity of wool goods certified under the SustainaWOOL Integrity Scheme.

DESCRIPTION OF GOODS	Wool tops and noils
RAW WOOL SUPPLIER	[REDACTED]
WOOL QUANTITY SUPPLIED	145 bales, including 35 bales SustainaWOOL certified
STANDARD(S)	SustainaWOOL GREEN (non-mulesed)
SUPPLIER BATCH IDENTIFIERS	[REDACTED]
SUSTAINAWOOL CERTIFICATE	CVN [REDACTED]
TOPMAKER	[REDACTED]
RESULTANT TOP AND NOIL	Top: 17,067.1 kgs (Hm 55.3 mm) Noil: 1,564.1 kgs
CERTIFIED PURCHASER	[REDACTED]


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RAW WOOL CONSIGNMENT DETAIL

Certificate No.:	CVN [REDACTED]	Client Name:	[REDACTED]
Raw Wool Supplier Name:	[REDACTED]	Code:	[REDACTED]
Supplier Code:	[REDACTED]	Batch ID:	[REDACTED]
Total Bales:	156	Greasy:	27,272 kgs
Bales:	43	Clean:	18,564 kgs
SW Bales:	35	Clean:	24,410 kgs
SW Lots:	9	Proportion:	25.1%
Other Bales:	7	Standard:	Green
Other Lots:	37	Clean:	12,639 kgs
Other Standards:	35	Proportion:	85.2%
		Standard:	NM/CM

TOP PRODUCTION DETAIL

Certificate No.:	CVN [REDACTED]	Client Name:	[REDACTED]
Raw Wool Supplier Name:	[REDACTED]	Code:	[REDACTED]
Supplier Code:	[REDACTED]	Batch ID:	[REDACTED]
Topmaker Name:	[REDACTED]		
Address:	[REDACTED]		
Batch ID:	[REDACTED]	Top:	17,067.7 kgs
Date:	9/12/2020	Noil:	1,564.1 kgs
H / CVB:	59.2 mm / 33.3%	Veg. Mat.:	104.7 kgs
B / CVB:	65.8 mm / 27.4%	Scour loss:	8,266.6 kgs


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INDIVIDUAL RAW WOOL LOTS

Farm Brand	Sale	Lot	Bales	M/S	SW Code	PIC	Accreditation
[REDACTED]	M20	1009	6	NM			SustainaWOOL GREEN
[REDACTED]	M29	403	3	NM			SustainaWOOL GREEN
[REDACTED]	M29	127	4	CM			
[REDACTED]	M29	1737	4	CM			
[REDACTED]	M29	4534	3	CM			
[REDACTED]	M30	43	5	CM			
[REDACTED]	M30	109	4	CM			
[REDACTED]	M30	402	7	NM			SustainaWOOL GREEN
[REDACTED]	M30	403	3	NM			SustainaWOOL GREEN
[REDACTED]	M30	131	6	CM			
[REDACTED]	M30	444	2	NM			
[REDACTED]	M31	1733	10	CM			
[REDACTED]	M31	427	1	NM			SustainaWOOL GREEN
[REDACTED]	M32	129	7	NM			
[REDACTED]	M32	305	2	NM			
[REDACTED]	M32	1	2	NM			
[REDACTED]	S18	2182	3	NM			SustainaWOOL GREEN
[REDACTED]	S21	6289	3	NM			SustainaWOOL GREEN
[REDACTED]	S29	4103	5	NM			SustainaWOOL GREEN
[REDACTED]	S29	4104	5	NM			SustainaWOOL GREEN
[REDACTED]	S29	4123	1	NM			
[REDACTED]	S29	3019	1	NM			
[REDACTED]	S32	334	3	NM			
[REDACTED]	S32	432	2	NM			SustainaWOOL GREEN
[REDACTED]	S32	432	1	NM			SustainaWOOL GREEN
[REDACTED]	M33	494	1	NM			
[REDACTED]	M33	727	2	NM			
[REDACTED]	M33	1869	3	CM			
[REDACTED]	M33	4004	3	NM			
[REDACTED]	MW16	3085	3	NM			
[REDACTED]	MW16	2193	4	NM			
[REDACTED]	MW18	2097	5	NM			
[REDACTED]	MW18	2392	1	NM			
[REDACTED]	MW18	2848	8	NM			
[REDACTED]	QW19	2073	2	NM			
[REDACTED]	QW19	4111	6	ND			
[REDACTED]	QW19	4112	4	ND			
[REDACTED]	QW19	4122	1	ND			
[REDACTED]	QW19	4124	1	ND			
[REDACTED]	QW19	4140	4	ND			
[REDACTED]	QW19	4141	4	ND			


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